

DRAFT v1.1
UUA Board Procedural Document
(Implementation procedures for Policy 3.0)

"Moved that the changes in the text of the Section 3 Procedural document be made as identified in the text below and that the Governance Working Group be authorized to make the appropriate item numbering and punctuation changes."

3.0 Governance Process

As amended January 2014.

Policy: The purpose of the Board, on behalf of the Sources of Authority and Accountability, is to ensure that the Unitarian Universalist Association (a) achieves appropriate results for appropriate persons at an appropriate cost, and (b) avoids unacceptable actions and situations.

Policy: The Board will inspire, direct and hold accountable the administration and itself through the careful establishment of broad written policies reflecting Unitarian Universalist values and perspectives.

3.1 Governing Style.

Policy: The Board will govern with an emphasis on (a) outward vision, (b) encouragement of diversity in viewpoints, (c) strategic leadership, (d) clear distinction of Board and President roles, (e) collective, (f) future, (g) pro-activity, and (h) an open and transparent process.

Procedures:

On any issue, the Board must insure that ~~relevant~~ divergent views are considered in making decisions, yet must resolve into a single organizational position.

Accordingly:

1. The Board will cultivate a sense of group responsibility. The Board, not the staff, will be responsible for excellence in governing. The Board will be the initiator of policy, not merely a reactor to staff initiatives. The Board may use the expertise of individual Trustees to enhance the ability of the Board as a body, rather than to substitute the individual judgments for the Board's values.
2. The Board's major policy focus will be on the intended long term impacts ~~within the Association and its external relations and partnerships~~.
3. The Board will govern with excellence. Discipline will apply to matters such as attendance, preparation for meetings, policy-making principles, and respect of roles. Although the Board can change its governance process policies at any time, it will observe them scrupulously while in force. The Board will address an issue only if the

Lew 6/13/2014 12:15 PM

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Lew 9/22/2014 8:34 PM

Comment [1]: It makes a lot more sense to consider relevant views. Lew's recommendation, make the minor change indicated.

Lew 12/21/2013 1:14 PM

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Comment [2]: Lew's recommendation: Delete the unneeded words.

Lew 12/21/2013 1:15 PM

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Board determines that the following criteria have been met:

- A. A substantial portion of the Board believes that the issue deserves Board time;
 - B. The issue is the responsibility of the Board;
 - C. If the Board has dealt with the issue before, there is new information that compels reconsideration;
 - D. The focus of the issue is at a systemic level, and is not just a fix for a specific problem. If the issue is below the Board's broad policy threshold, the Board will seek to broaden the issue to include a class of related issues in a proactive style.
4. Continual Board development will include orientation of new Trustees in the Board's governance processes.
 5. The Board will allow no officer, individual or committee of the Board to hinder or be an excuse for not fulfilling its commitments.
 6. The Board will select, from among ministers serving on the Board, one or more Trustees to serve as chaplains to the Board.
 7. The Board will monitor and discuss the Board's processes and performance at each meeting. Self-monitoring will include comparison of Board activity and discipline to policies in the Governance Process and Board-President Linkage categories, following the monitoring schedule in Appendix 3.A.
 8. The work of the Board of Trustees on matters of public witness will be guided by the actions made by the General Assembly. This shall not preclude the Board from choosing to bear witness in a time of extraordinary circumstances.
 9. To be consistent with our commitment to the right of conscience and the democratic process and achieve a transparent and open process, the Board will:
 - A. Provide advance notice of dates and locations of regular business meetings, and making agendas, reports, and the previous meeting minutes available prior to the meeting;
 - B. Provide avenues for comment on issues on the meetings' agendas;
 - C. Accommodate observers at regular business meetings, and notify all participants of recording and archiving policies.
 - D. Make documents submitted for consideration to the Board of trustees publicly available, with the exception of documents distributed during or related to

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Comment [3]: Good ideas. They are not needed here. Lew's recommendation: Delete the unneeded words.

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Deleted: Accordingly, on matters of public witness regarding social concern, the Board will: -

... [1]

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business to be discussed in executive sessions.

E. Conduct its business in public, except when the Board decides by majority vote to deal with the following kinds of matters in Executive Session:

- volunteer and staff personnel matters that are of a delicate nature
- legal matters of which public discussion could be legally injurious
- budget matters that involve such legal or personnel matters
- property acquisition or disposition
- business of the above nature involving a member society if the society requests an Executive Session.

10. Any officer or trustee may initiate a request for an Executive Session. The first item of business in any Executive Session shall be an explanation of the reason for the request, after which the Board shall vote whether or not to remain in Executive Session.

Participants in Executive Session will be limited to Board Members, the Youth Observer, the Chief Operating Officer, the Program and Strategy Officer and the Treasurer, Chief Financial Officer. The Board may vote to include others in Executive Session where appropriate.

- A. All meetings in executive session will close with a determination as to whether the material is confidential and needs to be confidential.
- B. The Executive Session may be ended at any time by majority vote.
- C. Executive Sessions will be held to the minimum necessary under these guidelines.

11. Record and archive audio of business proceedings of the UUA Board, with the exception of executive sessions, to be available to members of UUA congregations upon request.

12. Regularly hold meetings in sites other than Boston, to strengthen relationships with the Association's Member Congregations and other Sources of Authority and Accountability, and also to learn first-hand about vital interests of the Association.

A. The Board will select a Site Selection Team.

Lew 9/22/2014 8:34 PM

Comment [4]: Lew's recommendation: Make the minor change indicated.

Lew 6/13/2014 12:30 PM

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Lew 8/11/2014 1:49 PM

Deleted: Vice President for Ministries and Congregational Support

Lew 9/22/2014 8:34 PM

Comment [5]: Changes needed due to staff organizational changes. Lew's recommendation: Make the changes indicated.

Lew 12/21/2013 1:28 PM

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- a. Proposals to the Site Selection Team must come from Trustees.
- b. Proposals must contain the following:
 - i.
 - ii. An identified contact person, who will represent the host site as a liaison with the Board;
 - iii. Evidence of strategic importance (how will the Board's exposure to the host site serve the strategic interests of the Association?)
 - iv. Evidence of wise stewardship of Association resources
 - v. Evidence of support of a group of related Congregations (for example, a Cluster) and other Sources of Authority and Accountability (how will the Board link with local Congregations; how will the Board link with other Sources of Authority and Accountability).

B. The Site Selection Team will authorize a spokesperson as a single point of contact on meeting logistics with the Administration. Within parameters established by the Site Selection Team, the spokesperson may make meeting logistics decisions on behalf of the Board.

C. To be in healthy relationship with the Administration, and to ensure lowest cost, the Board will select meeting geographic areas no less than eleven months prior to the meeting. If a geographic area is not chosen, the default choice is to meet in Boston.

3.2 Board Job Description.

Policy: As informed and elected leaders of our Association of member congregations, the UUA Board of Trustees assures organizational performance by creating, communicating, and monitoring organizational systems and performance, in accord with established Board policy.

Procedures:

Accordingly, the Board has responsibility to:

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Lew 9/22/2014 8:34 PM

Comment [6]: Do we really need two sites? Not really. Lew's recommendation: Delete this item.

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Deleted: At least two preferred dates for hosting the Board (from the scheduled list of upcoming dates on the Board's calendar);

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1. Create and maintain linkage between the Board and the Sources of Authority and Accountability defined in Policy 3.0.
 - a. Linkage shall mean
 1. Formal, intentional dialogue with the Sources of Authority and Accountability for the purpose of understanding the Sources' values and the benefits the Association should produce
 2. Connections with the Sources of Authority and Accountability that ensure the board governs accountably on their behalf.
 - b. No task shall have a higher priority.
 - c. In linking with any particular Source, the Board will listen to multiple voices.
 - d. The Board will collaborate with communities and organizations outside the Board in identifying the voices invited to speak on behalf of these Sources.
 - e. The Board will report on its linkage activities with these Sources, identifying not only the methodology but also the values discerned, and the impact of those values on Board actions.
2. Write policies that address organizational decisions and situations at the broadest levels:
 - a. Shared Vision (ENDS): Statements that express values identifying what benefit to whom and at what cost.
 - b. Leadership Covenant and Expectations: Established boundaries around both ethics and prudence within which all executive activity and decisions must take place.
 - c. Governance Process: How the Board conceives, carries out and monitors its own tasks.
 - d. Board-President Linkage: Promote right relationship between the President and the Board by defining the president's role, authority, and accountability.
3. Assure operational performance through systematic monitoring of systems and performance of the organization in relation to established policy and examining and approving the operating and capital budgets before they are implemented.
4. Act as faithful stewards of the resources of the UUA.

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5. Obtain an annual audit of the Association's financial reports by a certified public accounting firm with experience conducting audits of organizations of comparable size, as overseen by the Audit Committee. Upon completion of the audit, the Board will meet with the chair of the Audit Committee to receive a report that discusses the audit and the auditors' management letter. Prior to this meeting, the Audit Committee will provide the Board with a written report, for publication on the UUA website, containing the key findings of the audit, including any finding of a serious accounting or management weakness.
6. Promote and actively engage in the work of building an antiracist / antioppressive/multicultural institution.
7. Act in direct relationship with the General Assembly (GA).
 - a. Approve the GA site, participating in GA programs, and responding, as appropriate, to motions adopted by GA.
 - b. Review and affirm adoption of UUA Public Policy Statements, based on actions of previous General Assemblies.
 - c. In consultation with the General Assembly Planning Committee, make decisions about the allocation of excess funds generated from General Assembly activities. (q.v. Policy on GA Reserve Fund)
8. Vote to accept into membership or to terminate association membership of a congregation in accordance with UUA By-laws.
9. Appoint and empower Board committees and Board liaisons.
10. Ensure continuity of governance capability

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3.3 Board and Board Member Code Of Conduct.

Policy: The Board commits itself and its members to act in adherence with the UUA bylaws, to conduct themselves ethically, businesslike, and lawfully, and to act with respect for others, with proper use of authority and appropriate decorum when serving as Trustees.

Procedures:

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1. Board members must act in accordance with our covenant with each other.

We promise to:

- o ...listen deeply, speak boldly and keep an open mind, balancing views of self and others authentically
- o ...be humble, prepared and present and focus on governance as the board's essential role, while taking the long view, and maintaining accountability for Anti-Racism, Anti-Oppression and Multi-Culturalism
- o ...have respect and affection for each other, assuming the best of intentions and honest needs and building new bridges and mending bridges that are broken
- o ...remember our sources and whose we are, giving space for faith
- o ...learn and grow, practice self-care, laugh and sing!

This covenant shall be read at the beginning of each board meeting.

2. Board Members must act in accordance with our covenant with member congregations. As Board members, our primary purpose is to serve our member congregations regardless of personal relationships with staff, affiliations with other organizations, or any personal interest.
3. Board Members must comply with Conflict of Interest (Appendix 2.J) and Whistleblower policies (Appendix 2.K).
4. Member Trustees covenant with each other to follow a practice of "Speaking with one voice". This requires that each Trustee has had reasonable opportunity to participate in the debate of the issues and opportunities before the committee or Board. The Trustee may have disagreed with the Board's decision, and consistent with the right of conscience may say so publicly. However, once the Board makes a policy decision, and even if an individual Trustee does not fully support the Board's position, each Trustee agrees to do their best to act in alignment with that policy in the spirit of moving our organization ahead in accordance with our democratic principles.

If a Trustee finds that they cannot in good conscience adhere to this policy and covenant, then that Trustee bears the responsibility to consult with the Moderator or Vice-Moderator and determine an acceptable course of action.

5. Board Members **must not attempt** to exercise individual authority over all or any part of the organization.

- Lew 9/22/2014 8:34 PM
Comment [7]: Change pursuant to organizational change. Lew's recommendation: Accept the change.
- Lew 6/13/2014 4:45 PM
Deleted: First
- Lew 9/22/2014 8:34 PM
Comment [8]: Need a stronger word here. Lew's recommendation: Accept the change.
- Lew 6/13/2014 4:46 PM
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6. Board Member's interaction with the President or with staff must recognize that an individual Board member has no authority except when explicitly authorized by the Board
7. Board Members will not express individual judgments of performance of employees of the President, except during participation in Board deliberation about whether reasonable interpretation of Board policy has been achieved by the President.
8. Board Members will respect the confidentiality appropriate to issues of a sensitive nature. ~~9. Board Members will be properly prepared for Board deliberation.~~
10. Board Members will model UU values in our lives and in our roles as Trustees.
11. Board Members will provide leadership for UUA's stewardship and development efforts. Each Board Member is encouraged to:
 - A. Support the financial well being of the Association, including: pledges to his or her congregation, an annual contribution to the Friends of the UUA, and participation in capital campaigns.
 - B. Submit names of potential donors to the Stewardship and Development staff group;
 - C. Encourage his or her own congregation to attain the fair share contribution to the Annual Program Fund.
 - D. Promote testamentary giving through legacies and bequests.
 - E. Be knowledgeable about Association funding.
2. Board Members are expected to have completed anti-racism training that includes analysis and systems theory components prior to the January meeting of their first year as a Trustee. Participation in such training will be paid for, or reimbursed by the UUA, with prior approval of the CGO.
13. Individual Board Members will not engage in fundraising or public support of any candidate for national UUA office except within twelve months of the date of the election.
14. Each Board Member will annually certify in writing their knowledge of, and their agreement to honor, the contents of these Governance Process policies.

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3.4 External Relations.

Policy: Speaking with one voice is an important value of the Board. To achieve this, the board will formally delegate official authority to speak on behalf of the organization in the public arena.

Policy: Committee chairs, working group conveners and officers are authorized to communicate with members of the press concerning areas of Board discussion, deliberation and action within the scope of their authority following meetings of their respective groups.

Procedures:

1. The CGO [Chief Governance Officer] and President are the customary spokespersons for the UUA [Unitarian Universalist Association]. Trustee's interaction with the public, press or other entities must recognize that no Trustee may speak for the Board except to repeat explicitly stated Board decisions.
2. Any Board member may be empowered and charged by the Board to be a Board representative in relationship with any group, and such empowerment, the charge and its responsibilities will be defined by the Board at the time of the assignment.
3. Board members may represent the Board or the Association at meetings and events where such representation is deemed desirable and where the CGO has agreed to the representation. Board members are entitled to represent themselves as UUA Trustees at ceremonial events where the Board member deems such representation desirable. Board members are responsible and accountable for avoiding any ambiguity about their representative role or authorization to speak for the Board of Trustees or the UUA.
4. For special electronic communications (e.g., the Board Blog, the Board Face Book page), the CGO may appoint an individual trustee to write inputs in areas in which she/he has knowledge or expertise.
5. The Chief Governance Officer will appoint a trustee or trustees to write a brief letter to UU ministers and congregational presidents following each quarterly board meeting, to apprise them of important decisions the Board made at that meeting and vital issues it discussed. Such a letter will be signed by the Secretary on behalf of the Board.

3.5 Agenda Planning.

Policy: The Board will follow an annual agenda which (a) advances and/or reevaluates the relevance of its Shared Vision (ENDS) and (b) continually improves Board performance through Board education and enriched input and deliberation.

Supporting procedural guidance for policy 3.5

1. Agenda planning will be completed during the first meeting of each fiscal year, and the agenda published with the minutes of that meeting. The agenda or work plan should look out at least 24 months.
2. Agenda planning will include:
 - A. Consultations with selected groups in the member congregations, or other methods of gaining member congregations input.
 - B. Governance education, and education related to Shared Vision (ENDS) determination, (e.g. presentations by futurists, demographers, advocacy groups, staff, etc.)
 - C. A consent agenda to help the Board deal with routine items as expeditiously as possible.
3. The agenda for each meeting is to be prepared by the Chief Governance Officer (Moderator). Suggestions for agenda items are solicited from Working Group conveners and committee chairs. Any Trustee may suggest items for the agenda. The tentative agenda will be sent to Trustees and posted on UUA.org at least two weeks prior to the meeting with all available supporting documents.
4. All monitoring events will be included on the agenda as reflected in the monitoring schedule in Appendix 3.A.
5. All recurring calendar events will be included on the agenda as reflected in the Recurring Events schedule shown in Appendix 3.B.
6. Individual meeting agendas will generally follow the format below:
 - A. Welcoming and recognizing guests

Lew 9/22/2014 8:34 PM

Comment [9]: Need to make the distinction between long-term and next-meeting agendas.
Lew's recommendation: Make the change.

Lew 9/22/2014 8:34 PM

Comment [10]: Obsolete. Needs to go away.
Lew's recommendation: Make the change.

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B. Chalice Lighting

C. Approve agenda

D. Consent Agenda

1. Operational (~~Moderator constructs~~).

2. May include ~~Monitoring Reports~~ motions.

E. Linkage to Member congregations and Board Communications

1. Member congregation communication

2. Communication with the stakeholders and selected interested groups or constituencies in regard to Board's Annual Agenda.

F. Board ~~Information~~

1. President's Report

2. Moderator's Report

3. Financial Advisor's Report.

4. Special topics in accord with the annual agenda to assist the Board in its work and governing capacity.

G. Policy Discussion, based and focused upon the annual plan of Board work.

H. Assurance of Operational Performance

1. Receipt of Monitoring Reports; Review of Trustee's personal analysis of the reports; Discussion of interpretations; Challenges to interpretation; Vote on compliance.

2. ~~New Operational~~ updates / concerns.

3. ~~Monitoring~~ schedule

4. Board self-assessment against Board means policies (according to the monitoring schedule)

I. Executive Session (if circumstances require)

Lew 9/22/2014 8:34 PM

Comment [11]: Change to reflect current practice. Lew's recommendation: Make the change.

Lew 9/22/2014 8:12 PM

Deleted: President

Lew 9/22/2014 8:34 PM

Comment [12]: Acceptance of a monitoring report isn't accepted until it has been voted upon. That happens with the acceptance of the Consent Agenda. Lew's recommendation: Make the change.

Lew 9/22/2014 8:13 PM

Deleted: accepted

Lew 9/22/2014 8:34 PM

Comment [13]: These reports aren't "Education," they are important "Information." Lew's recommendation: Make the change.

Lew 6/13/2014 5:41 PM

Deleted: Education

Lew 6/13/2014 5:42 PM

Deleted: Worries

Lew 6/13/2014 5:45 PM

Deleted: (if submitted prior to the meeting)

Lew 9/22/2014 8:34 PM

Comment [14]: Minor wording changes. Lew's recommendation: Make the changes.

Lew 6/13/2014 5:43 PM

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- J. Process Observations
- K. Other issues that require board knowledge or action.
- L.
- M. Announcements

- N. Adjournment

Lew 9/22/2014 8:34 PM

Comment [15]: Process observations should not be limited to AR/AO and governance areas. Lew's recommendation: Make the change.

Lew 12/21/2013 2:17 PM

Deleted: (AR/AO, governance)

Lew 8/11/2014 2:00 PM

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Lew 9/22/2014 8:34 PM

Comment [16]: Gotta have an "Other." Lew's recommendation: Make the change.

3.6 Election Of Officers And Officer Roles.

Policy: The General Assembly elects the Moderator (CGO) and Financial Advisor. The Board elects the Vice Moderator and the Secretary. The Board also appoints the Recording Secretary and the Treasurer of the UUA

Youth Observer The Youth Observer is the primary liaison between GA Youth Caucus and the Board.

The Youth Observer shall serve her/his term without vote.

Excluding matters of voting, The Youth Observer shall bear the same responsibilities and accountabilities as defined for trustees.

With consent of the Board, the enumerated tasks of the observer may evolve as the structures of denominational youth leadership evolve.

The Youth Observer is charged with:

- o Informing GA Youth Caucus and Youth Caucus staff of relevant Board issues at the Youth Observer's discretion
- o Staying informed about the planning and activities of GA Youth Caucus
- o Keeping abreast of national issues that are of interest to Unitarian Universalist youth in districts and congregations
- o Linking and nurturing relationships between youth leaders in districts and congregations across the nation
- o Seeking out qualified youth candidates as future Youth Observers and for other UUA volunteer positions

Procedures

Elected Officials from General Assembly

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1. The **Moderator** is the **Chief Governance Officer (CGO)**. The CGO assures the integrity of the Board's processes and, secondarily, represents the Board to outside parties. Accordingly, the CGO is responsible for:
 - o Ensuring that the Board behaves consistently with its own rules and those legitimately imposed upon it from outside the organization.
 - o Ensuring that the Board discusses only those issues that, according to Board policy, clearly belong to the Board to decide, not the President.
 - o Ensuring that deliberation is fair, open, and thorough, but also timely, orderly, and kept to the point.

D. Nomination of Candidates for Moderator/CGO

- i. Not later than 24 months before the beginning of a General Assembly at which an election for Moderator will be held, the Moderator Nominating Committee (MNC) shall issue a call for nominations, which must be received within two months.
- ii. Not later than 19 months before the beginning of a General Assembly at which an election for Moderator will be held, the committee shall recommend to the board two or more possible candidates for Moderator. No member of the committee may be recommended as a candidate. Each candidate must give written consent prior to being recommended. The names of recommended candidates who are not nominated by the board shall not be made public. The committee shall submit to the board background information on each recommended candidate, which shall be received by the board in confidence. No board member who is a recommended candidate shall receive the background information on any candidate. Individuals who normally attend executive sessions, per policy 3.1.9.E, shall be entitled to receive the MNC recommendations and background information, and to attend all sessions concerning the nomination of candidates for Moderator.
- iii. The board shall interview one or more of the recommended candidates in executive session. No candidate who was recommended by the MNC or who intends to run by petition may be present during the interview of any other candidate, or in any other executive session held to discuss candidates for Moderator.
- iv. As required by Section 9.5 of the Bylaws, the board shall nominate one or more candidates no later than February 1 of the year before the General Assembly at which there is to be an election for Moderator.

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- a. The following individuals may participate in Board deliberations but shall not be eligible to vote: the Secretary of the Board, the Trustees who serve on the Election Campaign Practices Committee, the President, and the Youth Observer.
 - b. The following individuals may participate in Board deliberations and shall be eligible to vote: the Moderator, and any Trustee who served as a voting member of or non-voting board liaison to the MNC.
 - c. Voting shall be by secret ballot in executive session, with procedures for voting and vote counting to be determined by the Secretary.
 - d. Voting shall be conducted using the “single transferable vote” method, with a ballot designed to permit the designation of first, second, third, etc. choice. At the conclusion of the vote counting, the two candidates with the highest number of votes shall be declared the nominees. However, if one candidate receives more than 75% of the first choice votes, then only that candidate shall be the nominee of the board. Furthermore, if two or more candidates for nomination are separated by less than one full vote, they shall be considered tied and the board shall take a second vote to break the tie.
 - e. The minutes of the executive session shall report only the names of the nominee(s).
- v. If a special election is to be held to fill a vacancy in the office of Moderator, the procedures in this section 3.6.1.A shall be followed to the extent that time permits. The Moderator Nominating Committee shall make its recommendations to the Board no later than November 1 of the year before the election.

E. The CGO is authorized to use any reasonable interpretation of the provisions in these policies. The CGO may make decisions that fall within topics covered by Board policies on Governance Process and Board-President Linkage, with the exception of employment or termination of a President and situations where the Board specifically delegates portions of this authority to others.

F. The CGO is empowered to chair Board meetings with all the commonly accepted power of that position (e.g., ruling, recognizing).

G. The CGO has no authority to make decisions about policies created by the Board within Shared Vision (ENDS) and Leadership Covenant and Expectations policy areas. Therefore, the

CGO has no authority to supervise or direct the President.

H. The CGO may represent the Board to outside parties in announcing Board stated positions and in stating chair decisions and interpretations within the area delegated to her or him.

I. The CGO may delegate CGO authority, but remains accountable for its use.

J. In special circumstances, the CGO may create task forces or special committees to address or explore issues of concern to the Association.

K. In the event of a vacancy, the CGO shall inform congregations of the vacancy.

Board-Elected Roles and Positions

4. Vice Moderator (Board Coordinator)

The role of the Vice Moderator/Board Coordinator is to:

- o Assist with Board of Trustees agenda planning and scheduling of Board work.
- o Participate in leading Board of Trustees meetings.
- o Assumes the CGO role in the event of absence of the CGO.
- o

5. Secretary

The responsibilities of the Secretary of the Association are defined in the Bylaws.

In addition to these responsibilities, the Secretary shall:

- o Maintain the UUA Governance Manual, assuring that all policies will be reviewed at least once in five years.

6. Assistant Secretary

The role of the Assistant Secretary is to support the work of the Secretary and shall fill those roles in succession if the Secretary is unavailable.

7. The process for selection of the Vice Moderator, the Secretary and Assistant Secretary shall be:

Lew 9/22/2014 8:34 PM

Comment [17]: Not needed. Lew's recommendation: Delete the paragraph.

Lew 12/21/2013 2:23 PM

Deleted: Financial Advisor
The responsibilities of the Financial Advisor are defined in the Bylaws in various sections of Articles VII, VIII, and X.

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Lew 9/22/2014 8:34 PM

Comment [18]: change to current practice. Lew's recommendation: make the change.

Lew 6/13/2014 9:14 AM

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Lew 9/22/2014 8:34 PM

Comment [19]: We don't need to specify all the reasons the CGO might not be present. Lew's recommendation: Make the change.

Lew 6/13/2014 9:15 AM

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Lew 9/22/2014 8:34 PM

Comment [20]: Change to current practice. Lew's recommendation: Delete the paragraph.

Lew 6/13/2014 5:55 PM

Deleted: <#>Second Vice Moderator (Assistant Board Coordinator)
The role of the Second Vice Moderator/Assistant Board Coordinator is to: ... [3]

Lew 9/22/2014 8:34 PM

Comment [21]: Not current, not needed. Lew's recommendation: Make the change.

Lew 9/22/2014 8:23 PM

Deleted: in various sections of Articles VIII, IX, and X, and in various sections of the Rules with number headings 3, 4, 6, and 9

Lew 9/22/2014 8:34 PM

Comment [22]: Unless "incapacitated" includes going to the restroom. Lew's recommendation: Make the change.

Lew 12/21/2013 2:30 PM

Deleted: incapacitated

Lew 6/13/2014 5:55 PM

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Lew 6/13/2014 5:56 PM

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A. Each Board member shall be given the opportunity to stand for election as Vice Moderator/Board Coordinator. A vote shall then be conducted to choose the Vice Moderator.

B.

C. The Committee on Committees brings forward nominees for the Secretary and Assistant Secretary positions. A vote shall then be conducted to approve the recommendations.

Lew 6/13/2014 5:56 PM

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Deleted: Each Board member shall then be given the opportunity to stand for election as Second Vice Moderator/Assistant Board Coordinator. A vote shall then be conducted to choose the Second Vice Moderator. ...

Board-appointed Positions and Appointment Procedures

9. Recording Secretary

10. Treasurer

11. Appointment of the Recording Secretary and Treasurer of UUA shall be done as follows:

- A. President recommends to the Board at least one candidate for each position.
- B. Board discusses in Executive Session, and votes to affirm or reject.
- C. Appointments announced.

Lew 12/21/2013 2:32 PM

Deleted: The recording secretary has various duties defined in Article VIII of the Bylaws. ...

Lew 9/22/2014 8:34 PM

Comment [23]: References to the by-laws are unnecessary and, too often, yield incorrect references. Lew's recommendation: Delete both paragraphs.

Lew 12/21/2013 2:32 PM

Deleted: ...
The responsibilities of the Treasurer are defined in the Bylaws in various sections of Articles VII, VIII, and X. ...

3.7 Board Committee Principles.

Policy: Board committees will be used sparingly and, when used, will be chartered to reinforce the wholeness of the Board's responsibilities and to never to interfere with delegation from Board to President.

Procedures

Accordingly:

1. Board committees are established by the Board to help the Board do its job, and in general, not to help or advise the staff. Some exceptions to this policy exist due to constraints placed on the Board by Bylaws, or because the Board is still in discernment (See 3.8 below for more information). Committees ordinarily will assist the Board by preparing policy alternatives and implications for Board deliberation. In keeping with the Board's broader focus, Board committees will normally not have direct dealings with

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current staff operations.

2. Board members may serve on committees outside the Board, but only in their capacity as private individuals, and do not carry any Board authority in these roles.
 - A. Board members may serve on staff operational committees at the invitation of the President.
 - B. Board members may serve on General Assembly operational committees, at the invitation of those committees established by the General Assembly.
3. Except as specified by the By-laws, Board committees may not exercise authority over staff. Because the President works for the full Board, the President will not be required to obtain approval of a Board committee before an executive action.
4. Board committees are to avoid over-identification with organizational parts rather than the whole. Therefore, a Board committee that has helped the Board create policy on some topic should take special care to represent the full Board's interest when monitoring organizational performance on that same subject.
5. Special committees will be used sparingly and ordinarily in an ad hoc capacity for specific short-term objectives or to carry out special tasks that will facilitate the work of the Board. Although the CGO may create special committees, the CGO will consult with the Appointments Committee regarding any appointments the CGO wishes to make.
6. This policy applies to any group that is formed by Board action, whether or not it is called a committee and regardless whether the group includes Trustees. It does not apply to committees formed under the authority of the President.
7. All members of Board-appointed committees are expected to have reasonable competence in the area of racism and oppression.
8. Each Board-appointed committee, including Working Groups, is charged with developing standards and processes for embodying the commitment to antiracism, anti-oppression and multi-culturalism in its work, and to develop a plan for the ongoing training and education of its members.
- 9.
10. Unless otherwise specified by these policies or by the Bylaws or Rules of the Association, committee appointments are made for two-year periods, which can normally be renewed up to a maximum of 8 years of service. Terms begin at the close of the regular General Assembly in odd-numbered years. When considering possible reappointments of committee members, the Appointments Committee shall take into account the goal that committee membership should reflect the full diversity of the Association, as well as the need for each committee to have the full range of skills and

Lew 9/22/2014 8:38 PM

Comment [24]: We don't currently have such a team. Lew's recommendation: Delete the second sentence of the paragraph. Then consider if we want to recreate an AR/AO/MC committee.

Lew 9/22/2014 8:36 PM

Deleted: Members of the AntiRacism/Anti-Oppression Assessment and Monitoring Team (AR/AO AMT) and chairs of the Appointments Committee and Finance Committees must additionally have an understanding of change theories and demonstrated commitment to anti-racism and anti-oppression

Lew 6/13/2014 6:09 PM

Deleted: -

Lew 9/22/2014 8:50 PM

Comment [25]: Include the flexibility here. Lew's recommendation: make the wording changes and delete the last sentence in the paragraph.

Lew 9/22/2014 8:48 PM

Deleted: three times for

Lew 10/31/2014 4:51 PM

Comment [26]: Obsolete reference. Lew's recommendation: Delete the reference.

Lew 9/22/2014 8:44 PM

Deleted: as stated in Policy 3.7.10

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experience necessary for its work.

11. Whenever a new committee is named, the Appointments Committee shall develop a charge, including a statement of the committee's purpose and estimated duration, and forward that charge to the Board and the Finance Committee and monitor and address issues of committee performance.
12. Committees will not be reimbursed for committee expenditures beyond their approved budget, without prior approval by the Treasurer, the Chair of the Finance Committee, and the
13. If a Board-appointed committee finds that an individual member creates a working atmosphere that is unproductive, disruptive, or otherwise impeding effective committee functioning, the Appointments Committee urges the leader and members of that particular committee to speak candidly with the member whose behavior is problematic in order to identify desired changes. If the committee is not able to resolve the problem internally, the chair should contact the Chair of the Appointments Committee for help in resolving the problem.
14. Committee members must comply with the Association's Conflict of Interest Policy (Appendix 2.J, see Policy Section 2)
15. Committee members must comply with the Association's Whistleblower Policy (Appendix 2.K, see Section 2)

Lew 6/13/2014 6:13 PM

Deleted: The Appointments Committee may recommend a reappointment resulting in more than eight years of service when failure to allow a term extension would have a significantly negative impact on a committee's ability to function

Lew 6/13/2014 6:15 PM

Deleted: Vice President for Finance

Lew 9/22/2014 8:51 PM

Comment [27]: Lew's recommendation: Delete the "Chair of the Appointments Committee."

Lew 9/22/2014 8:51 PM

Deleted: Chair of the Appointments Committee

3.8 Board Committee Structure.

3.8 Board Committee Structure

A. The board has the responsibility for appointing and monitoring certain committees and entities. Section 7.1 of our Bylaws mandates the following board-appointed committees:

1. Executive Committee
2. Ministerial Fellowship Committee
(The MFC has created sub-committees on candidacy that also require board appointments)
3. Finance Committee
4. Investment Committee of the Unitarian Universalist Common Endowment Fund, LLC (UUCEF LLC) [[Charge to the Investment Committee](#)]

The Bylaws of the UUCEF LLC specify the qualifications of members of the UUCEF Investment Committee, and further describe the specific

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responsibilities of the committee.

The Board of Trustees appoints the members of the UUCEF Investment Committee and has other powers over the UUCEF LLC, as described in the Certificate of Organization, the Operating Agreement, and the Bylaws of the UUCEF LLC.

5. Religious Education Credentialing Committee; and
6. Audit Committee [[Audit Committee Charter](#)]

Additional board-appointed committees were created in response to General Assembly actions:

7. Open UUA Committee (Rule G-2.1)
 8. Journey Toward Wholeness Transformation Committee (1997 Business Resolution)
- Election Campaign Practices Committee (Rule G-9.13.10) The Board itself has created the following entities:

9. Appointments Committee
[[Charge to the Appointments Committee](#)]
10. Moderator Nominating Committee
Not later than 26 months before the beginning of a General Assembly at which an election for Moderator will be held, the board shall appoint a Moderator Nominating Committee to assist the board in carrying out its responsibilities under Section 9.5 of the Bylaws and Section 3.6.1.A of these policies. The committee shall consist of five members, including not more than two trustees.
11. Committee on Socially Responsible Investing [[Charge to the CSR](#)]
12. Retirement Plan Committee [[Charge to the RPC](#)]
13. Employee Benefits Trust [[Charge to the Health Plan Trustees](#)]
14. Council on Cross-Cultural Engagement [[Charge to the CCCE](#)]

B. The board-appointed entities and committees identified in this policy should report to the board no less than once a year. Each report should address the committee's performance against the committee charge, and demonstrate compliance with policy 3.7.8, which requires standards, processes and plans related to antiracism, anti-oppression and multi-culturalism. As reflected in policy 2.13 (Support to the Board), the board will ensure that adequate staff support is provided to facilitate compliance with 3.7.8 and Open UUA guidelines. The board shall consider and take appropriate action on committee recommendations.

3.9 Board / General Assembly Relations.

Policy: Pursuant to the Bylaws, the Board shall act for the Association between General Assemblies.

Procedures:

Accordingly:

1. ↓
2. ↓
3. Costs will be prudently incurred. The Board will develop its budget to coordinate with the budget cycle each year to assure its inclusion in the overall budget. The Board budget shall include:
 - A. Board training, including attendance at conferences and workshops.
 - B. An annual external financial audit and other third-party monitoring of organizational performance.
 - C. Surveys, focus groups, opinion analyses,
 - D. Operating and meeting costs of the Board, Board committees, Board appointed committees, and the elected Committees of the Association.
4. Only prudently incurred expenses related to volunteer service on behalf of the Board are reimbursable from the Association budget. The Board shall comply with all provisions of the Association's expense reimbursement policies that are applicable to volunteers who serve on committees. The staff may ask the Moderator to review and approve any reimbursement request from a Board or committee member. The Audit Committee shall designate a committee member to review the Moderator's expense reimbursements at least twice a year, to ensure that they are in compliance with the Association's reimbursement policies.
5. Candidates for President or Moderator who have been duly nominated in accordance with Section 9.5 or Section 9.6 of the bylaws shall be entitled to be reimbursed by the Association for reasonable expenses for registration, travel, meals and lodging for attending the General Assemblies held one year prior to the election and in the year of the election, and for regular meetings of the Board of Trustees held during the period between the two General Assemblies, provided they remain candidates as of the time of the General Assembly(ies) and the quarterly meeting(s) for which they seek

Lew 9/22/2014 8:59 PM

Comment [28]: not needed. Lew's recommendation: Delete the item.

Lew 6/14/2014 5:35 PM

Deleted: Board skills, methods, and supports will be sufficient to assure governing with excellence. ...

Lew 9/22/2014 8:59 PM

Comment [29]: This is linkage, covered elsewhere. Lew's recommendation: Delete the item.

Lew 6/14/2014 5:35 PM

Deleted: Outreach mechanisms will be used as needed to ensure the Board's ability to listen to member congregation viewpoints and values. ...

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reimbursement. Each such candidate shall be provided a single booth space in the Exhibit Hall at the General Assemblies held one year prior to the election and in the year of the election. In no case is a candidate eligible for reimbursement for expenses incurred prior to being nominated.

6. Candidates for Financial Advisor who have been duly nominated in accordance with Section 9.4 or Section 9.6 of the bylaws shall be entitled to be reimbursed by the Association for reasonable expenses for travel, meals and lodging for attending the regular meetings of the Board of Trustees held in April and June of the year during which the election of a Financial Advisor will be held.